# API-Related Developer Information Needs in Stack Overflow

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Abstract—Stack Overflow (SO) provides informal documentation for APIs in response to questions that express API related developer needs. Navigating the information available on SO and getting information related to a particular API and need is challenging due to the vast amount of questions and answers and the tag-driven structure of SO. In this paper we focus on identifying and classifying fine-grained developer needs expressed in sentences of API-related SO questions, as well as the specific information types used to express such needs, and the different roles APIs play in these questions and their answers. We derive a taxonomy, complementing existing ones, through an empirical study of 266 SO posts. We then develop and evaluate an approach for the automated identification of the fine-grained developer needs in SO threads, which takes a thread as input and outputs the corresponding developer needs, the types of information expressing them, and the roles of API elements relevant to the needs. To show a practical application of our taxonomy, we introduce and evaluate an approach for the automated retrieval of SO questions, based on these developer needs.

Index Terms—Developer information need, API, Stack Overflow

# 1 Introduction

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Software reuse through Application Programming Interfaces (APIs) is an integral part of software development [1], but learning how to effectively use APIs can be difficult [2], often impeded by the inadequacies of API documentation. While such documentation might capture an API's structure, it tends to lack information on concepts, purposes, and usage scenarios [3]. As an alternative form of documentation, the question-and-answer forum Stack Overflow (SO) can fill this gap to some extent by providing informal "how-to" documentation in response to specific needs [4].

However, identifying and extracting information available on Stack Overflow, which is relevant to APIs in the context of a task, is challenging due to the vast amount of questions and answers. At the time of writing, Stack Overflow hosts more than 19 million questions and close to 29 million answers. Even for a particular API library, the amount of information can be overwhelming, e.g., there are currently about 25,000 questions tagged with "junit". Stack Overflow only offers minimal organization of this information via its tagging mechanism which allows users to associate up to

five tags and a title with each question. This tagging mechanism is predominantly used to indicate the technologies relevant to a question [5]. As a result, all discussions relevant to 38 an API library are often grouped under a single tag, not 39 doing justice to developers who have task-specific information needs [6]. The title of SO posts summarizes them better 41 than the tags, however it often does not cover all pertinent 42 information for the question. For example, the following title 43 "How to split a string in Java" only reflects one of the two 44 goals of the questioner: "I have a string [...] that I want to split 45 into two strings. [...]I also want to check if the string has'-' in it." 46 If developers only look at the title, they might ignore discussions related to their needs.

People asking questions on SO have various backgrounds 49 (e.g., students, professional developers, *etc.*), yet we will 50 refer to them simply as *developers*. With regard to the goals of 51 the questioners, we refer to them as *developer information* 52 *needs* or simply *developer needs*.

Developer information needs have been the subject of 54 many studies (e.g., [7], [8]) and several researchers ana-55 lyzed and categorized the SO questions on some of these 56 needs ([4], [5], [9], [10], [11], [12], [13], [14], [15], [16], [17], 57 [18]). Beyer *et al.* [9] categorized SO questions in seven 58 high-level categories (i.e., *API Usage, Conceptual, Discrepancy, Errors, Review, API Change* and *Learning*), which sub-60 sume categories defined in previous work.

We conjecture that the categories defined by Beyer *et al.* 62 [9] are too coarse-grained to reason about specific developer 63 needs and require further refinement. For example, the 64 "API Usage" category is defined as "A how type of questions 65 asks for ways to achieve a goal". As Beyer *et al.*, we found that 66 many SO questions (51.9% in our data - Section 2.2.1) refer 67 to more than one developer information need, showing that 68

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many questions are complex. For example, the following SO question<sup>2</sup> includes information (highlighted in bold) expressing at least three different information needs: (1) implementing a functionality; (2) error handling; (3) comparing APIs.

I was trying to load a file in a webapp, and I was getting a File-NotFound exception when I used FileInputStream. However, using the same path, I was able to load the file when I did getResourceAsStream(). What is the difference between the two methods, and why does one work while the other doesn't?

Although it seems that only the last API comparison question is the question directly raised by the questioner, other developers with similar goals to the first one and second one can also benefit from this discussion. At the same time, the answers to a question often involve multiple APIs, each having a different role in the answer, an aspect not captured in existing taxonomies.

We argue that we need a more fine-grained categorization of developer information needs and expressed in SO question, which would help users to understand and find easier complex questions and answers.

In this paper we focus on identifying and classifying finegrained developer needs expressed in sentences of APIrelated SO questions, as well as the specific information types used to express such needs, and the different roles APIs play in these questions and their answers. We derive a finegrained taxonomy, complementing existing ones, through an empirical study of 266 SO posts (Section 2). We then develop and evaluate an approach for the automated identification of these fine-grained developer needs in SO threads (a thread includes a question post with the corresponding answer posts), which takes a thread as input and outputs the corresponding developer needs, the types of information used to express them, and the roles of the pertinent API elements from the thread (Section 3.3). The evaluation indicates that our approach can accurately (83.6% precision and 85.4% recall, in average) identify developer needs, relevant information types, and API roles, in SO threads.

We conjecture that the fine-grained API-related developer needs and the API roles capture essential features of the SO threads, which help in the retrieval of SO questions, especially multiple developer information needs are involved. We developed a retrieval approach leveraging the abovementioned identification tool (Section 5). For evaluation, we compared the retrieval performance of our approach with a state-of-the-art retrieval approach, AnswerBot [19] The results show that our approach outperforms AnswerBot on Top@1 (0.625 versus 0.484), Top@5 (0.828 versus 0.797), and Top@10 (0.859 versus 0.828) accuracy and MRR (0.698 versus 0.617). We further conducted a user study asking participants to complete programming tasks with the help of our approach or with AnswerBot. The results show that, using our approach, participants could complete tasks faster (378s versus 518s).

In summary, the contributions of this paper are:

A fine-grained taxonomy of developer needs in SO posts, together with the information needed to express

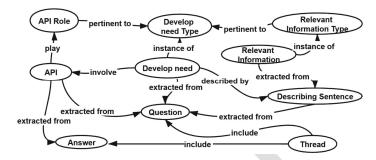


Fig. 1. Conceptual schema of our taxonomy.

them, and the roles of the APIs in addressing the 125 needs. The taxonomy is accompanied by an annotated 126 data set used to derive it.

- An approach that automatically identifies fine- 128 grained developer needs and relevant information in 129 SO posts.
- An approach for the retrieval of API-related SO questions, based on the developer information needs.

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### **DEVELOPER NEEDS IN SO POSTS**

We conducted an empirical study for understanding what 134 type of developer needs are expressed in API related SO 135 questions and how. Fig. 1 shows the relationships between 136 the main concepts used in the paper. An SO [thread] could 137 include a [question] (with a title and the body) and multiple 138 [answers]. The question may express multiple [developer 139] information needs]. Each [developer need] is an instance of a 140 [developer need type] and it is described by [describing senten- 141 ces] from the [question]. The [describing sentences] contain the 142 [relevant information] for expressing the [developer need]. Each 143 [relevant information] is an instance of a [relevant information 144 type]. Multiple [APIs] may be mentioned in the [question] or 145 [answers], and each API plays a specific [API role] in describing the [developer need] or its solution.

We focus on answering the following research questions: 148 *RQ1*: What type of developer needs are present in SO questions? RQ2: What type of information is used to describe the developer needs?

RQ3: What roles do APIs play related to the developer needs?

#### **Study Design** 2.1

While we considered the seven categories defined by Beyer 154 et al. as a starting point to our study, we performed open 155 coding on a set of SO threads with the goal of refining and/ or redefining them, as needed. Since our focus is on API- 157 related questions only, we limited the scope of the "Learning" category to "API Usage Learning" only.

Qualitative Analysis Method. Based on the thematic analy- 160 sis framework proposed by Braun and Clarke [20], we con- 161 ducted a qualitative analysis by performing open coding on 162 API-related threads. We treat the developer need, relevant 163 information, and API role as themes and the analysis was 164 conducted collaboratively by following steps similar to 165 Robillard *et al.* [21].

1. Data Collection and Familiarisation. We first collected 167 API-related threads from SO. Then all annotators read those 168 collected threads in order to become familiar with them, 169 paying specific attention to patterns that occur.

Fig. 2. An example of coding of a SO question.

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- 2. Coding on Data. Annotators analyzed the API-related threads and coded those threads according to the coding protocol we designed. As a result, we obtained a list of codes for developer need types, relevant information types, and API role types.
- 3. Generating, Reviewing and Defining Codes/Themes. We first grouped codes into themes, i.e., developer need, relevant information, and API role. Then we discussed the distribution of codes across threads and the relationships between themes (i.e., Fig. 1) and codes (i.e., Tables 2 and 4). We reviewed once again all API-related threads, focusing on checking whether the definitions of codes/themes are appropriate, and whether the relationships between themes and codes are correct. We repeated this process until the results were stable.

Next, we elaborate on our data collection and coding protocol.

Data Collection. We selected threads related to JDK and Android APIs for this empirical study. We chose JDK and Android APIs because they are popular [22] and we are familiar with them. To obtain the data, we first selected threads tagged with "java" and an accepted answer from the SO data dump [23] and removed those that did not contain any qualified name or aliases of APIs from JDK 1.8<sup>3</sup> or Android API 27<sup>4</sup> in the title, question body, or accepted answer. The aliases of APIs were derived from the qualified name (e.g., StringBuffer is one derived alias for java. lang.StringBuffer). To further ensure the quality of threads, we ranked the threads by the number of question votes and retained the top 500 voted threads. Then, we manually removed threads that were not about APIs. The manual removal was conducted by two students independently (one PhD and one MS student, each with more than five years Java and Android experience). One of the authors was assigned to resolve any conflicts, although the agreement between students was near perfect (i.e., Cohen's Kappa coefficient [24] of 0.92). After this step, we obtained 266 threads about APIs. Unlike our data set, Beyer et al. [9] used 500 randomly sampled posts with the tag "android" which were not necessarily API related.

Coding Protocol for Developer Needs and Relevant Information. To answer RQ1 and RQ2, we analyzed the questions from the 266 threads. We preprocessed the questions from HTML format to clean text using BeautifulSoup [25]. Long code snippets that were wrapped by cpre><code></code> were replaced with a placeholder "-CODE-" during parsing. Where necessary, a "." was added after -CODE- to ensure that the following sentence splitting is

Fig. 2 shows an example of how we coded the questions. 225 First, three of the authors (two PhD and one MS student, each 226 with more than five years Java and Android experience) 227 coded the questions into *developer need types* through discussion and consensus. If the question expresses a developer 229 need type but it does not meet the current definition of any 230 type, we modify the definition of the existing type or create a 231 new developer need type after discussion. If developer need 232 types are changed, we re-annotate all questions again. As a 233 result, one question could be classified into several developer 234 need types at the same time, e.g., the SO question shown in 235 the box in the introduction. We classified the question in 236 Fig. 2 into developer need type "Functionality Implementation" 237 (Table 1).

Then if a question is an instance of a developer need type, 239 we will check each sentence in the question for identifying 240 the specific information that describes this developer need, 241 which we call *relevant information*. The relevant information 242 instances were classified and refined through card sorting. 243 One sentence could be classified into several relevant information types of the same developer need types or different 245 developer need types at the same time. If the sentence does 246 not provide important information for any developer need 247 type, we will annotate it as "useless" (e.g., "Thanks."). For 248 example, the three colored sentences in the Fig. 2 are all 249 annotated as relevant information "Desired Functionality" for 250 "Functionality Implementation" (see Table 2) and the remaining sentences are annotated as "useless".

In summary, the coding for developer need types and 253 relevant information was iterative. When we found that a 254 question/sentence cannot be coded with an existing type, 255 we created a new type or modified the definition of an existing type. Then, we re-annotated all the questions again, to 257 account for the new type or the modified definition. We finished the coding process once we achieved saturation, i.e., 259 once no new developer need types and relevant information 260 types were found.

To further verify that our coding for developer needs and 262 relevant information is correct and complete, and to mini-263 mize any bias, we asked two MS students (not involved in 264 previous annotation) familiar with Java and Android to 265 annotate a subset of the 266 questions with our coding by 266 following our coding protocol with the codes we derived. 267 First we sampled five questions for each developer need 268 type based on our annotation for questions, and we got 34 269 questions, after removing duplicate questions. The annotation was performed by both students independently. For 271 each question, they annotated it with some developer need 272 types, and they annotated each sentence with relevant information types corresponding to the annotated developer 274 need types or "useless". If none of the existing codes was 275 suitable, they annotated the question or sentence as "New 276

correct. For each question, we split the text in the title and 219 question body into sentences and combined them together 220 because we need to annotate questions at sentence-level. As 221 shown in Fig. 2, for the question "How to split a string in Java" 222 is the first sentence and "I have a string," "004-034556", that I 223 want to split into two strings: -CODE-." is the second sentence. 224

 $<sup>3. \</sup> https://docs.oracle.com/javase/8/docs/api/java/lang/package-summary.html$ 

<sup>4.</sup> https://developer.android.com/reference/packages

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TABLE 1
Definitions and Examples of Developer Need Types (QC: Question Count, DNC: Developer Need Count)

Developer Need Type	Definition	SO ID	Example Summary	QC	DNC
Functionality Implementation	The developer wants to implement specific functionality	1816673	How do I check if a file exists in Java?	182	187
Non-Functional Improvement	The developer wants to improve the existing implementation for some non-functional requirements, e.g., code quality	1306727	Is there a neater way for getting the length of an int than this method?	32	32
Functional Improvement	The developer wants to fix an implementation whose expected performance is not consistent with the actual performance without an obvious error message	869033	I want to copy the dum to dumtwo and change dum without affecting the dumtwo. But the code above is not doing that.	32	32
Error Handling	The developer wants to fix an implementation with an obvious error message	1393486	java.lang.OutOfMemoryError: GC overhead limit	28	28
Rationale Analysis	The developer wants to understand the internal implementation and design of an API.	7421004	Is FileInputStream using buffers already?	39	54
API Comparison	The developer wants to compare multiple APIs.	355089	Difference between StringBuilder and StringBuffer	27	27
Alternative Solution	The developer wants to find an alternative of an existing solution.	54516417	Backward alternative solution for ChronoUnit.Days.between()	9	9
API Usage Learning	The developer wants to learn how/ when/where to use an API	2793150	How to use java.net.URLConnection to fire and handle HTTP requests	87	87

Code". The Cohen's Kappa agreement coefficients for developer need types are all above 0.6 (i.e., substantial agreement), with a minimum of 0.62, a maximum of 1.00, and an average of 0.89. The Cohen's Kappa agreement coefficients for relevant information types are also all above 0.6, with a minimum of 0.66, a maximum of 1.00, and an average of 0.85. All developer need type codes and relevant information type codes were used in this round of annotation and no new codes were reported. We found the disagreements often occurred when the students overlooked some parts of very long questions.

To identify developer need instances we need to group the sentences that describe the same developer need instances together. Note that the sentences in a question providing relevant information for the same developer need type are not always describing the same developer need instance. First, we filtered out sentences annotated as "useless" and grouped the remaining sentences. A sentence group contains several sentences from the same question and is annotated with relevant information types of the same developer need type. A sentence may appear in different groups because it may be annotated with relevant information types of different developer need types at the same time. For each sentence, two MS students were asked to group them independently, based on the developer need type they describe. The original question was provided as the context when grouping. If they disagreed on the grouping, one of the authors was assigned to resolve conflicts. The Cohen's Kappa agreement coefficient for sentence grouping is 0.95, (i.e., nearly perfect agreement). The coding for developer needs and the relevant information are providing guidance for identifying developer need instances, which makes it easier for participants to reach consensus. As a result, we obtained 456 developer need instances described by one or more sentences in the questions from the 266 SO threads.

For example, the three colored sentences in Fig. 2 are 312 grouped into two developer need instances, colored with 313 orange and green, respectively.

Coding Protocol for API Roles. To answer RQ3, we asked 315 two MS students (same as above) to identify the APIs 316 involved in answering the 456 developer needs and the 317 roles of each involved API. Three of the authors analyzed 20 318 threads and defined an initial set of codes for different API 319 roles. For example, "suggested API" is the role for the APIs 320 suggested by answerers to satisfy the developer need.

The students were shown one developer need at a time 322 with its original question and accepted answer of the ques- 323 tion as context. The APIs could be identified from the ques- 324 tion or its accepted answer. To make the annotation easier, 325 we ignored the other answers of the question. An API could 326 only be coded with one API role for the current developer 327 need, but could be coded with different API roles for other 328 developer needs. For example, "string" (i.e., java.lang. 329 String) is annotated as "Context API" (Table 3) for both 330 developer needs in Fig. 2.

The students coded independently. If their role annotations for the same API in a developer need were different, 333 one of the authors was assigned to resolve the conflict. During coding, if an API could not be coded with any existing 335 API role, we changed the definition of existing API roles or 336 created new API roles, after discussion and agreement. If 337 the categories were changed, the students re-coded the 338 APIs again. The two coders identified 2,049 APIs in total 339 (same APIs for different developer needs were treated as 340 different APIs) and among them 68.6% APIs (1,406 of 2,049) 341 were identified by both coders. We checked the APIs that 342 were not identified by both coders. The main cause was that 343 the questions or the answers in those cases were very long, 344 with large code snippets, and the coders missed some APIs. 345 The Cohen's Kappa agreement coefficient for annotating 346

TABLE 2
Definitions and Examples of Relevant Information Types (SC: Sentence Count, DNC: Developer Need Count)

Developer Need Type	Relevant Information	Definition	Example	SC	DNC	Essen
Functionality Implementation	Desired Functionality	Describes the functionality that developer wants to implement	How do I check if a file exists in Java?	379	187	Yes
	Implemented Functionality	Describes the functionality that has been implemented	Is there a neater way for <b>getting</b> the length of an int than this method: -code-	62	32	Yes
Non-Functional Improvement	Suboptimal Implementation	Describes the existing sub- optimal implementation	Is there a neater way for getting the length of an int than this method: -CODE-	40	32	Yes
	Improvement	Describes the improvement developer wants to make	Is there a neater way for getting the length of an int than this method: -code-	37	27	No
Functional	Expected Result	Describes the result expected	I want to copy the dum to dumtwo and change dum without affecting the dumtwo.	66	32	Yes
Improvement	Actual Result	Describes the actual results obtained	However, I only get the file name, not the file content.	48	32	Yes
	Insufficient Implementation	Describes the insufficient implementation	I've tried doing this: -CODE	46	32	Yes
	Error Type	Describes the error type of implementation	java.lang.OutOfMemoryError: GC overhead limit exceeded	49	28	Yes
Error Handling	Error Occasion	Describes where the error oc- curred	I get exception when using Thread.sleep(x) or wait()	41	28	No
	Erroneous Implementation	Describes the implementation with error	This is my test case: Parent.java -CODE	18	15	No
Rationale Analysis	Rationale Question	Describes the question about the internal implementation or design of an API	Is FileInputStream using buffers already?	81	54	Yes
	Comparison Subject	Describes the subjects being compared	Difference between <b>StringBuffer</b> and <b>StringBuilder</b> ?	47	27	Yes
API Comparison	Comparison Scenario	Describes the scenario for the comparison	What is the difference between using the Runnable and Callable interfaces when designing a concurrent thread in Java, why would you choose one over the other?	17	15	No
	Current Solution	Describes the current solution that needs an alternative solution	Backward alternative solution for ChronoUnit.Days.between()	11	9	Yes
Alternative Solution	Alternative Description	Describes the functionality and constraints that the alternative solution has	I need an alternative solution for ChronoUnit.Days.between() that works for Android version prior to API 26.	12	6	No
API Usage Learning	Used Subject	Describes which API the developer want to know the usage of	How to use java.net.URLConnection to fire and handle HTTP requests	149	87	Yes
Senso Senting	Usage Scenario	Describes the scenario to use the API	How to use java.net.URLConnection to fire and handle HTTP requests	140	80	No

API roles is 0.88 (i.e., near perfect agreement). After resolving the conflicts, we obtained 1,932 APIs (note that the same API playing a different role is considered distinct) involved in the questions and answers of the 456 developer needs. Note that 117 APIs are removed after resolving the conflicts. Mainly, those removed APIs are APIs that were misidentified by participants, e.g., java.lang.StringBufer, which should be java.lang.StringBuffer.

#### 2.2 Results

# 2.2.1 RQ1 (Developer Need Types)

Table 1 shows the definitions and examples of the eight developer information need types we identified, with the numbers of questions where these developer need types appear. The last column indicates the number of distinct developer needs that belong to that type.

Among the 456 specific developer needs we identified in 362 the 266 questions, functionality implementation is the most fre-363 quent developer need type (41.0%). This implies that developers often ask for help to implement a specific functionality. 365 Among the 266 questions, 128 questions contained 1 developer need; 93 questions contained 2 developer needs; 38 367 questions contained 3 developer needs; 7 questions contained 368 4 developer needs. Among the questions with more than one 369 developer need (51.9%), 92.8% (128 of 138) questions address 370 developer needs of different types. That is, 48.1% (128 of 266) 371 questions may be classified into several developer need types 372 at the same time.

As shown in Table 1, the value of QC is the same as DNC's 374 in six out of eight developer need types (except for functionality implementation and rationale analysis). This shows 376 that different developer need types have different characteristics. For some developer need types (e.g., functionality 378

TABLE 3 Definitions of API Roles

API Role	Definition	Count
Context API	APIs as the context to describe the developer need, <i>e.g.</i> , APIs being compared, API in need of alternative	522
Suggested API	APIs suggested by the answerer to solve the developer need	1,188
Currently Used API	APIs used in current implementation of questioner.	138
Error API	APIs maybe the reason why this error happened.	53
Exception Type API	The exception type usually is Exception class or Error class.	31

implementation and rationale analysis), the questioners may mention multiple developer needs of the same type but belonging to different instances in one question, e.g., the question shown in Fig. 2. For other types, such as alternative solution, the questioner is unlikely to inquire about alternative solutions for two different implementations at the same time.

Some developer need types seem to overlap to some extent, e.g., API comparison and API usage learning. However, each developer need type we define has a different way of describing questions from other types (see Sections 2.2.2 and 2.2.3). These eight developer need types refine the taxonomy proposed by Beyer *et al.* [9]. We discuss in more detail at the end of this subsection how these two frameworks complement each other.

Among the eight developer need types, the top three (i.e., functionality implementation, non-functional improvement, and functional improvement) are more general than the rest and they can apply to other non-API related questions. Some developer need types have commonalities. Non-functional improvement, functional improvement, error handling and alternative solution are for developers who already have a solution and want to improve/fix it. API comparison, API usage learning and rationale analysis are for developers who want to learn specific APIs.

In conclusion, questions on Stack Overflow could be quite complex and contain multiple developer needs from different developer need types. This is also consistent with our intuition. We need a way to more accurately analyze the developer needs in the questions.

# 2.2.2 RQ2 (Relevant Information Types)

Table 2 shows the definitions and examples of the 17 types of relevant information used for describing developer needs. We report how many sentences (SC) and how many developer needs (DNC) are described with the corresponding relevant information. We observed that not all relevant information types are used to describe all instances of a developer need type. For example, an API usage learning need described by the sentence "how to use FileInputStream" only provides relevant information for "Used Subject" without "Usage Scenario". The "Essen." (i.e., Essential) column indicates whether the corresponding relevant information appears in the descriptions of all instances of the corresponding developer need type. We consider the other relevant information "non-essential" for a developer need type, meaning

TABLE 4
Relationships Between Developer Need Types, API Roles, and Relevant Information Types

Developer Need Type	API Role	Count	Relevant Information
Functionality Implementation	Context API	188	Desired Functionality
Implementation	Suggested API	836	-
Non-Functional	Context API	39	Implemented Functionality
Improvement	Currently Used API	65	Suboptimal Implementation
	Suggested API	124	-
Functional	Context API	27	Expecting Result, Actual Result
Improvement	Currently Used API	73	Insufficient Implementation
	Suggested API	132	-
Error	Error API	53	Erroneous Implementation, Error Occasion
Handling	Exception Type API	31	Error Type
	Suggested API	77	-
Rational Analysis	Context API	80	Rationale Question
API Comparison	Context API	56	Comparison Subjects
Alternative	Context API	8	Current Solution
Solution	Suggested API	19	-
API Usage Learning	Context API	124	Used Subject

that it may be omitted from the description of a developer 424 need of that type. 425

The 456 developer needs we identified are described in 426 1,027 sentences (764 unique ones) that provide relevant 427 information. Each developer need is described by 2.3 sentences on average (min. 1, max. 9, median 2). These descriptive sentences constitute only 53.2% (764 of 1,436) of the 430 sentences in the 266 questions providing relevant information. The implication is that, the developer needs from a 432 question are described with only half of its sentences, on 433 average. Further, we analyzed sentences providing relevant 434 information related to developer needs. 75.7% of developer 435 needs contain sentences providing duplicate types of relevant information, implying that we could summarize developer needs from questions in a concise way by using 438 sentences without providing duplicate types of relevant 439 information.

#### 2.2.3 RQ3 (API Roles)

Table 3 shows the definitions of five API roles we identified 442 and the last column is the number of APIs playing that role 443 in at least one instance. *Suggested API* is a special API role 444 and it is usually played by the APIs appearing in the answer 445 as part of the solution for the developer need. APIs with 446 other roles could appear in both questions and the answers, 447 in the question as part of the developer need description 448 and referenced in the answer. Table 4 shows the relations 449 between API roles and developer need types and relevant 450 information. Different developer need types could share 451 API roles. Some developer need types include multiple API 452 roles but not all corresponding API roles must exist in their 453 developer need instances. We further analyzed the relations 454

TABLE 5
Relationships Between Taxonomies

Our Taxonomy	Beyer et al. Taxonomy
Functionality Implementation Non-functional Improvement	API Usage Review
Functional Improvement	Discrepancy, API Change
Error Handling	Errors
Rationale Analysis	Conceptual, API Change, Review
API Comparison	API Change, Discrepancy
Alternative Solution	API Change
API Usage Learning	Learning

between API roles and relevant information, which are shown in the fourth column "Relevant Information". We found that APIs with a specific role tend to appear in sentences with specific relevant information. This suggests that we can design heuristic rules to determine the role of an API based on the relevant information type present in the sentence

The 456 developer needs have 1,932 pertinent APIs in total (4.24 APIs on average, min. 1, max. 34, and median 3). 77.4% (353 of 456) of the developer needs have multiple pertinent APIs. Not all APIs appearing in the question/answer are pertinent for a developer need. The API could be mentioned only as an example or only related to one of the developer needs in the question (when multiple are present). The same API could be involved in different developer needs by playing different roles, which implies that those threads provide different information about the same API.

# 2.2.4 Comparison With Prior Taxonomies

We discuss here how the taxonomy we defined relates to the one proposed by Beyer *et al.* [9]. We contend that our taxonomy extends and complements the one proposed by Beyer *et al.* Table 5 maps our *developer need types* to the categories from that taxonomy. There is no one-to-one correspondence between the categories. Since our taxonomy is finer-grained, one can consider that the categories from Beyer *et al.* that share our developer need types are related and the relationships are expressed by the common developer needs. Our other categories can be mapped to the ones derived by Beyer *et al.*, refining them into sub-categories. Moreover, our taxonomy includes *relevant information types* and *API roles*, which are not captured by Beyer *et al.*, hence extending that work.

### 2.2.5 Summary

Through our systematic annotation of 266 SO threads, we have identified 8 types of API-related developer needs and 17 types of information relevant to these developer needs. In addition, for the APIs related to these developer needs, we have identified the roles they play, leading to a set of 5 API roles.

#### 2.3 Threats to Validity

The internal validity of our findings is dependent on whether our codes for developer need types, relevant information, and API roles are correct and complete. To alleviate this threat we had more than one coder participating in each coding activity and have reported the agreement. Our data is available in the replication package [26] and the

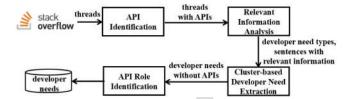


Fig. 3. Overview for automated identification of developer needs.

study may be replicated in the future, confirming the valid-500 ity of the codes. Another threat is that the categorization of 501 developers needs has been done by students, not develop-502 ers with industrial experience, cf. existing studies on poten-503 tial differences [27], [28], [29]. To alleviate this threat we 504 reported their Java development experience. The types 505 identified by the students are not esoteric, so it is unlikely 506 that more-informed coders would disagree with them.

The external validity of our findings is dependent on the 508 number of threads we used in the study. We only analyzed 509 266 API-related threads, which affects generalizability. The 510 findings may not generalize to other API-related questions. 511 To alleviate this threat, as much as possible, we chose questions related to two popular libraries (JDK and Android) 513 with high scores and we believe they are representative of 514 typical API related questions. Scaling up our analysis to 515 more SO threads may lead to the identification of additional 516 developer need or relevant information types. The extension of our taxonomy is expected and desirable, but it will 518 not invalidate the results we obtained. It would lead to beter analysis tools in the future.

# 3 AUTOMATED IDENTIFICATION OF DEVELOPER NEEDS

We develop an approach for the automated identification of 523 developer needs from threads. An overview of the approach 524 is presented in Fig. 3. For a given thread from SO, we identify the APIs mentioned in the thread (Section 3.1). Then we 526 use pretrained classifiers to identify developer need types 527 in the question and describing sentences providing the relevant information (Section 3.2). After that, we extract developer needs from the question by a cluster-based approach 530 (Section 3.3). Finally, we identify the APIs pertinent to each 531 developer need and their roles (Section 3.4).

### 3.1 API Identification

For an API-related thread, we consider its question and its accepted answer for API identification. We identify APIs in 535 three different places (i.e., code snippets, stack traces, text) 536 in different ways from the question and its accepted answer, 537 based on our observations from the empirical study. APIs 538 identified from different places may play different roles in 539 developer needs. For example, the API identified from a 540 code snippet may be a currently used API, the API identi- 541 fied from a stack trace may be an error API, and the API 542 identified from text may be a context API.

API Identification From Code Snippets/Stack Traces. In Stack 544 Overflow, code snippets and stack traces are both wrapped 545 in code></code>.6 They can both 546

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TABLE 6
Regular Expressions for API Identification

Regular Expressions	Matching Examples
$(\w+\.)+(\w)+$	java.lang.String
$([A-Z](\w)+)([A-Z](\w)+)+$	StringBuffer
$(\w+\.)*(\w)+(\)$	String.split()
$(\w+\.)*(\w)+([\w,]+\)$	StringBuffer.insert(int,int)

contain APIs and their formats are quite different. Thus, we extract APIs from them in different ways. First, we extract each text wrapped in <code></code> and classify it into three categories: code, stack trace, and other. The classification is done by a list of regular expressions designed based on empirical study data, available in our replication package [26]. We extract APIs from code snippets with our own implementation of Baker [30], an approach to link APIs in incomplete code snippets to their qualified names. Because the official implementation is not available, we implemented a version of Baker by ourselves and built the oracle for Java APIs. To build the oracle for Baker, we used JavaPaser to analyze the third-party libraries from Maven together with JDK 1.8 and Android 27. As a result, the oracle contains 946,325 classes, 9,711,745 methods and 3,448,472 fields for 32,238 libraries. We tested the Baker implementation on a test set and the results were comparable with those reported for the original implementation.

For a stack trace, we identify all APIs based on the structure of the stack trace by using regular expressions as well. The regular expressions are shown in Table 6, which take into account common API name conventions (similar to [31], [32]). For example, from the stack trace: 06-03 15:05:29.614: ERROR/AndroidRuntime (7737): java.lang.UnsupportedOperationException 06-03 15:05:29.614: ERROR/AndroidRuntime (7737): at java.util. AbstractList.remove (AbstractList.java:645), we extract two APIs java.lang.UnsupportedOperationException and java.util.AbstractList.remove.

API Identification From Text. We identify APIs in text in the following way. (1) Using regular expressions shown in Table 6 for common API name conventions, e.g., camel-case (e.g., StringBuffer), qualified name (e.g., java.lang. String) and method name (e.g., String.split()); (2) We consider the text wrapped in <code> as an API and the text shorter than two characters or more than one word will be filtered out. (3) We match the text with all APIs in the given API list, including the qualified names and the aliases of APIs. This part is optional and with the help of a given API list, we could identify APIs in text which could also be common words. For example, "string" in "How to split a string in Java" could match with java.lang. String if we provide JDK APIs for matching.

After we collect all APIs identified from the question and the accepted answer, we remove the duplicates. Qualified names and their aliases are considered duplicates, e.g., java.lang.String and String. We ignore parameters for API methods because API methods mentioned in SO questions are often lacking parameters. We further filter out

APIs that match SO tag names. SO tags could represent 596 some common words. For example, "BeautifulSoup" will be 597 identified as an API from text because of its camel case 598 name and it will be filtered out by matching with the SO tag 599 "beautifulsoup". If no APIs can be identified, then we consider this thread as not being API related and will not perform the next steps for developer needs extraction.

# 3.2 Relevant Information Analysis

We use text classifiers to classify the questions into devel- 604 oper need types and each describing sentence from the 605 question into relevant information types.

Text Preprocessing. We preprocess the text of the question as 607 follows. (1) We extract the text from HTML format using 608 BeautifulSoup [25]. The text in the title and the question body 609 are combined together as the question text. (2) Text wrapped 610 by <code> is replaced with one 611 of following placeholders: "-CODE-" for code snippet, 612 "-STACKTRACE-" for stack trace, "-XML/JSON-" for XML 613 or Json format data, "-NUMBER-" for number or list of num- 614 bers, and "-TEXT-" for plain text. The placeholder type is 615 determined using regular expressions. We shorten the text as 616 the text classifier underperforms for long text. Further, in the 617 specific content replaced by placeholders, there are many 618 unique words (e.g., custom variable names), which would 619 introduce noise to the classifiers. Moreover, the placeholders 620 allow the classifiers to focus on the type and the context of the 621 content replaced by placeholders, rather than the specific content. For example, "-STACKTRACE-" usually implies an 623 "Error Handling" developer need type in the question and 624 "An exception was thrown while I run the following code: 625 -CODE-." usually implies an "Erroneous Implementation". 626 Note that we record the original content replaced by the place- 627 holder for later restoration. The relationships between code 628 snippets/stack traces and the API identified from them in Sec- 629 tion 3.1 are also recorded. (3) We add a placeholder "-API-" before each API mention in the text (identified in Section 3.1). 631 If the API mention is ending with "Exception" or "Error", the 632 added placeholder is "-EXCEPTION-". The presence of APIs 633 is an important feature for some developer need types (e.g., 634 API Usage Learning, Error Handling) and relevant information 635 (e.g., Used Subject, Error Type).

Developer Need Type and Relevant Information Classification. 637 We define this task as a sentence classification and we design 638 a two-phase classifier. In the first phase, we classify the ques- 639 tion text into developer need types. We train binary classifiers 640 for each developer need type, which classify an input ques- 641 tion into two classes "Yes" or "No", representing whether the 642 input question contains this type of developer needs or not. 643

In the second phase, we classify describing sentences into 644 relevant information types. For each type of relevant infor-645 mation, we train a binary classifier that classifies a sentence 646 into two classes: "Yes" and "No", representing whether this 647 sentence provides this type of relevant information or not. If 648 the question is classified into one developer need type, we 649 use all relevant information classifiers that belong to this 650 developer need type. Based on the results of RQ2 (see 651 Table 2), some types of relevant information are essential for 652 the related developer need types (e.g., "Used Subject" is 653 essential for "API Usage Learning"). We use this finding to 654 improve the sentence classification. We combine the results

of the sentence classifiers from the same question together to fix classification errors. For example, if a sentence is classified as "Usage Scenario" and none of the sentences in the same question is classified as "Used Subject", then we will adjust the classification result of "Usage Scenario" from "Yes" to "No". If a sentence can not be classified as "Yes" by any classifier, it will be annotated as "useless".

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We train multiple binary classifiers for two reasons: (1) A previous study for knowledge pattern classification for sentences of API reference documentation [33] has shown that when there are many classes for sentence classification, training a binary-classifier for each class is better than directly training a single complex classifier; and (2) Our classification is a multi-label task, i.e., each question could have several developer need types and each description sentence could provide multiple types of relevant information. It is easier to collect training data for training multiple binary classifiers.

We used FastText [34] to implement the two classifiers. FastText is a fast approximation of the softmax classifier designed by Facebook, which is based on n-gram features and dimensionality reduction. It is fast enough that we can run iterative tests quickly.

We asked five MS students (with more than two years of Java development experience each) to annotate sentences by relevant information type, in addition to those we annotated in the empirical study (Section 2.1). To make the annotation easier, we designed the annotation task as a binary classifier as well. For each sentence, we show a candidate relevant information type and the annotators only need to annotate "Yes" or "No". If a sentence of the question is annotated as the relevant information type, we will consider the question annotated with the developer need type that the relevant information describes. We used doccano [35], an online annotation tool to support the annotation. We sampled the sentences for each relevant information type in the following ways: (1) random sampling; (2) sentences containing keywords related to the corresponding relevant information (e.g., "difference between" for "Compared Subject"); (3) sentences classified as positive by the classifier trained on the already annotated data; (4) sentences with high text similarity (based on TF-IDF) with already annotated data; (5) sentences in a question that were already annotated with the developer need type for this type of relevant information. There is no priority between different sampling ways. We took the union set of the sentences selected by the five ways. The same sentences may be sampled for different relevant information types. Each sampling sentence was annotated by two persons and a third person was assigned to resolve conflicts. The different sampling approaches were used for reducing annotation costs and getting more annotated data. The annotated data is provided in the replication package [26].

# 3.3 Cluster-Based Developer Need Extraction

Since a question may contain several developer need instances, as shown in Fig. 2, we extract the developer need instances in the question via clustering.

First, we filter out sentences annotated as "useless" and cluster the remaining ones by relevant information. A sentence cluster contains sentences from the same question with information types relevant to the same developer need type, e.g., sentences annotated with "Used Subject" and

*"Usage Scenario"* are in the same cluster, after clustering 716 based on relevant information.

Then we refine the clusters to separate different devel-  $^{718}$  oper need instances. We represent each sentence into a  $^{719}$  fixed-length vector by averaging the vectors of all words in  $^{720}$  the sentence. The vector for a word is obtained from a  $^{100}$ -  $^{721}$  dimensional Word2Vec [36] model pre-trained on the Wiki-  $^{722}$  pedia corpus. The model is tuned based on the corpus of  $^{723}$  all SO threads tagged with "java" by gensim [37]. The simi-  $^{724}$  larity between two sentences  $^{51}$  and  $^{52}$  is computed by  $^{725}$  Eq. (1). Eq. is short for Equation.

$$Sim(S_1, S_2) = (cos(V_{S_1}, V_{S_2}) + 1)/2.$$
 (1) 728

For each sentence cluster  $C_s$ , we refine the cluster in two 730 phases. In the first phase, we cluster the sentences that pro-731 vide the same relevant information types. We use DBSCAN 732 (Density-Based Spatial Clustering of Applications with 733 Noise) [38] as the clustering algorithm. We also add all other 734 sentences from the question to act as noise in the clustering. 735 After obtaining the clusters, we remove all the noise sentences and obtain several non-empty clusters.

In the second phase, we use the list of clusters Set(Cluster) 738 and we merge them. In each merging, we remove the two 739 most similar clusters  $Cluster_1$  and  $Cluster_2$  from Set(Cluster) 740 that do not contain the same relevant information type 741 and merge  $Cluster_1$  and  $Cluster_2$  as  $Cluster_{new}$  and then add 742 the  $Cluster_{new}$  back to the Set(Cluster). We stop when 743 Set(Cluster) has only one Cluster or we can not merge any 744 cluster pair. The similarity of two clusters  $Cluster_1$  and 745  $Cluster_2$  is the the highest sentence similarity between the 746 sentences in the two clusters.

Finally, we remove Clusters in Set(Cluster) that do not 748 contain all essential relevant information types for the corresponding developer need types. Each cluster left in the 750 Set(Cluster) corresponds to a developer need instance.

# 3.4 API Role Identification

Given a developer need, we first select APIs pertinent to the 753 developer need as candidates. Then, we classify each candidate API into an API role using rule-based classifiers. 755

Candidate APIs Selection. Based on our experience, an API is 756 relevant to a developer need DN in two cases: (1) it explicitly 757 appears in the sentence of this developer need, e.g., java.lang. 758 String in "How to split a string in Java"; (2) it is not explicit in 759 the sentence of this developer need, but its name or the context of the API implies its relevance, e.g., the sentence "Just 761 use the appropriate method: String#split()." from the answer 762 of "How to split a string in Java" mentions the java.lang. 763 String.split API and its name already shows the relevance.

Then, for a developer need DN and each API E in the same 765 thread, identified in Section 3.1, we calculate their relevance 766 score based on the location of E or the context similarity by 767 Eq. (2). If DN contains the API E in any of its sentences, then 768 Contain(DN, E) = 1; otherwise, Contain(DN, E) = 0. The 769 context similarity between E and DN is calculated by Eq. (3) 770 based on a Word2Vec [36] model. We represent E and E and E and E are 371 averaging the vectors of all words in their description text. 772 For E, the description text is the combination of all sentences 773

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TABLE 7
Evaluation of Developer Need Type and Relevant Information Classification

Developer Need Type							
Category	P	R	F1	Category	P	R	F1
Functionality Implementation	0.90	0.95	0.92	Non-Functional Improvement	0.91	0.97	0.94
Functional Improvement	0.89	0.94	0.91	Error Handling	0.92	0.97	0.95
Rationale Analysis	0.91	0.96	0.93	API Comparison	0.93	0.99	0.96
Alternative Solution	0.91	0.98	0.94	API Usage Learning	0.84	0.98	0.91
			Relevant I	nformation			
Desired Functionality	0.78	0.94	0.85	Implemented Functionality	0.80	0.95	0.87
Suboptimal Implementation	0.74	0.95	0.83	Improvement	0.84	0.95	0.89
Expecting Result	0.75	0.90	0.81	Actual Result	0.82	0.95	0.88
Insufficient Implementation	0.78	0.94	0.85	Error Type	0.82	0.97	0.89
Error Occasion	0.74	0.95	0.83	Erroneous Implementation	0.80	0.99	0.88
Rationale Question	0.81	0.90	0.84	Comparison Subject	0.93	0.99	0.96
Comparison Scenario	0.64	0.88	0.73	Current Solution	0.90	0.98	0.94
Alternative Description	0.78	0.98	0.87	Used Subject	0.74	0.92	0.82
Usage Scenario	0.72	0.90	0.79				

in the thread that mentioned the API E and all aliases of E (same as Section 2.1); For DN, the description text is the combination of all sentences in developer need DN. The Word2-Vec model is the same as we used for sentence clustering (see Section 3.3). We filter out APIs with relevance score less than a threshold T.

$$Rel(DN, E) = max(Contain(DN, E), Sim(DN, E))$$
 (2)

$$Sim(DN, E) = (cos(V_{DN}, V_E) + 1)/2.$$
 (3)

API Role Classification. Based on the results of RQ3 (see Section 2.2.3), we know the relations between API roles and the relevant information (see Table 4). We designed rule-based binary classifiers for each type of API role and use each classifier to classify each API E for developer need DN as: (1) Context API, if E appears in a sentence classified as one of: functionality implementation, implemented functionality, expected result, actual result, rationale analysis, comparison subject, used subject, current solution; (2) Currently Used API, if E appears in a sentence classified as one of: suboptimal implementation, insufficient implementation; (3) Error API, if E appears in a sentence classified as one of: erroneous implementation, error occasion; (4) Exception Type API, if E appears in a sentence classified as error type and containing "Error" or "Exception" in its name; (5) Suggested API, if E only appears in the answer.

It is worth noting that all API role classifiers assume that the developer need type must have corresponding API roles. If an API is classified as having multiple API roles, then we select the final role, following the priorities: *Exception Type API > Error API > Context API > Currently Used API > Suggested API*.

# 3.5 Evaluation

As shown in Fig. 3, our approach contains four steps: API identification, relevant information analysis, cluster-based developer need extraction, and API role identification. To verify the effectiveness of our approach, we evaluated the main parts of our approach: developer need type classification, relevant information classification, cluster-based developer need extraction, and API role identification. API identification mainly consists of existing methods and heuristic rules, which

are not the focus of our approach, and we did not perform a separate evaluation for that part. The relevant information size analysis includes developer need type classification and size relevant information classification, which we evaluated separately.

Developer Need Type and Relevant Information Classification. 821 We obtained annotation data for developer need types and 822 relevant information from our empirical study in Section 2.1 823 and additional annotation from Section 3.2. As a result, we 824 have 6,985 annotated questions for developer need classification and 14,718 annotated sentences for relevant information 826 classification. For each developer need type and relevant 827 information, we conducted 10-fold cross validation on the 828 annotated data. That is, we randomly divided the annotated 829 data into 10 folds and each time used 9 folds as the training 830 set and the remaining one fold as test set. We trained the  $\bar{8}$   $_{831}$ developer need type classifiers and 17 relevant information 832 classifiers based on the official implementation of FastText on 833 GitHub. We did not compare FastText with other baselines 834 because the purpose of this evaluation is to show that FastText 835 is an acceptable choice. FastText can be replaced with a more 836 advanced model, based on progress in the NLP domain, in 837 the future. The average precision, recall and F1 for all classi- 838 fiers across the 10 folds are shown in Table 7. "P" means preci-839 sion and "R" means recall, while "F1" is the harmonic mean of 840 the two. For the developer need type classification, precision, 841 recall and F1 for FastText are all above 0.8, while for relevant 842 information classification, they are all above 0.7 (with one 843 exception).

We attribute the lower classification accuracy on some 845 relevant information to the size of the training data, e.g., the 846 precision of "Comparison Scenario" is only 0.64 because it is 847 not essential for API comparison (i.e., it may be missing), 848 hence we only had 67 positive samples in the annotation 849 data. At the same time, we also observed that our method 850 does not perform well when the question body is very 851 lengthy with vague descriptions.

Cluster-Based Developer Need Extraction. We grouped a list 853 of sentences providing relevant information from 266 ques- 854 tions into 456 developer needs in Section 2.2.1. We used this 855

data as the ground truth for evaluating our developer need extraction, using as input the sentences with human annotated relevant information.

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For each question, we obtained a list of sentence pairs from its ground truth developer needs. A sentence pair contains two sentences that share a developer need. We compared the extracted developer needs and ground truth developer needs on sentence pairs to compute the precision and recall for this question. The average precision and recall for all questions are 0.91 and 0.92 respectively, which indicates that if the relevant information classification is accurate enough, we can extract developer needs correctly.

API Role Identification. We randomly selected 5 developer need instances for each developer need type from the 456 developer needs identified before, in total 40 developer need instances with 145 APIs (44 for context API, 21 for currently used API, 64 for suggested API, 11 for error API, 5 for error type API). We used the approach to identify the APIs with roles for these 145 APIs. Comparing with the human annotated ground truth, the average precision and recall for identification of APIs roles are 77.5% and 69.0%. The precision and recall for context API are 73.1% and 86.4%; for suggested API, 89.7% and 54.7%; for currently used API 61.1% and 52.5%; for error API 73.3% and 100%; for error type API 100% and 100%. The lower accuracy for suggested API and currently used API roles is caused by the fact that APIs with these two roles often appear in code snippets (a limitation of Baker). Another problem is that the mention of the API in the text is often a common word and we did not recognize it or link it correctly to its qualified name. Identifying the API mentions in SO posts more accurately is not the focus here, but subject of future work.

*Summary.* Our approach can accurately (83.6% precision and 85.4% recall, in average) identify developer needs, relevant information types, and API roles, in SO threads.

# 4 LARGE-SCALE SO QUESTION ANALYSIS

The motivation for our research is that many SO posts contain multiple developer needs, hence the need for our detectors. We used our tool to extract developer needs from 213,959 SO threads, as follows: (1) tagged with "java"; (2) created time is before March 2016; (3) has at least one accepted answer or one answer with at least one vote. This particular SO data was also used in previous research on SO question retrieval [19].

Our approach extracted developer needs from 83.6% of the questions (178,868 of 213,959). It identified 337,267 developer needs, 66,074 for functionality implementation, 37,441 for nonfunctionality improvement, 70,881 for functional improvement, 68,419 for error handling, 47,233 for rationale analysis, 24,402 for API comparison, 11,570 for alternative solution and 11,247 for API usage learning. Functionality implementation is the most common need, followed by functional improvement and error handling, which is consistent with our intuition. Developers often ask for help on SO for implementing specific functionality or debugging an existing implementation. As opposed to functionality implementation, API usage learning is the least common. The reason may be that most of the questions on SO are task-oriented. The problem for developers is that they do not know which API to use, not how to use a specific API.

For 106,026 questions with multiple developer needs, we 915 found that in 66.4% of the questions (70,387 of 106,026), at 916 least one sentence provides relevant information for differ- 917 ent developer needs at the same time. We observed two patterns for describing multiple developer needs in a question. 919 One is sequential, where developers describe different 920 developer needs in turn, and the other is interrelated where 921 multiple needs are mentioned in parallel. 922

Further, 66.3% of the developer needs are not included in 923 the sentences from the title. For questions with one devel- 924 oper need, the developer needs from 63.5% questions do 925 not contain the sentences from title. Because sometimes the 926 title is too vague and low quality and does not reflect the 927 developer needs of the developer in detail, e.g., in the ques- 928 tion with title "Simple data thread question - java". 10 For 929 questions with multiple developer needs, only 9.5% of the 930 questions contain sentences in the title for describing all 931 needs. The other 90.5% questions contain at least one devel- 932 oper need that is not described in the title. Sometimes it is 933 hard to express multiple developer needs in a short title, 934 which leads to some developer needs being described in the 935 body of a question only, e.g., the developer need "I also 936 want to check if the string has '-' in it." is not reflected by 937 the title "How to split a string in Java" of the question. 11

We conclude that a large proportion of SO threads refer 939 to multiple developer needs and in 90% of these cases, titles 940 do not describe all the needs. This observation has implica-941 tions on using question titles when retrieving related ques-942 tions, as they may have insufficient information. 943

#### 5 RELEVANT QUESTION RETRIEVAL

To show the usefulness of our taxonomy and automated 945 identification of developer needs, we design an approach 946 for the retrieval of API-related questions for a given query, 947 based on developer needs. 948

# 5.1 Retrieval Approach

The main idea of our retrieval approach is that we match the 950 user's query with the question at the granularity of developer needs, not just the title of the question or the whole 952 question body. The retrieval approach has two parts, an off-953 line part for developer needs extraction, and an online part 954 to retrieve a set of questions related the given query.

For the offline part, we first collect questions to be retrieved as a question corpus and extract developer needs from questions in the corpus. At the same time, we train a TF-IDF metric using gensim [37] based on the question corpus. Each question is treated as a document and preprocessed in the same way as described in Section 3.2. The TF-IDF metric measures the importance of a word in the corpus.

For the online part, we define the relevance between a 963 given query q in natural language and an API-related ques-964 tion Q, using the extracted developer needs, as a linear combination of two similarity measures, (see Eq. (4)). First, we 966 calculate the relevance between the query q and each developer need DN (i.e.,  $Sim_{text}(q,DN)$ ) in the question Q, and 968 select the DN most relevant to q. Then we use the relevance 969

<sup>10.</sup> https://stackoverflow.com/questions/4719146

<sup>11.</sup> https://stackoverflow.com/questions/3481828

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between q and DN as the relevance between q and Q. When calculating the relevance between q and DN, both text similarity and API similarity are considered and weighted by two weights  $W_1$  and  $W_2$  respectively (See Eq. (4)).

We convert q and DN into bags of words  $W_q$  and  $W_{DN}$  respectively after stop word removal and stemming. An asymmetric text similarity  $Sim_{text}(q \to DN)$  between the query q and the developer need DN is computed by Eq. (5).  $Sim(w_q, W_{DN})$  is computed as  $\max_{w_{DN} \in W_{DN}} sim(w_q, w_{DN})$  where  $sim(w_q, w_{DN})$  is the cosine similarity of two vectors of  $w_q$  and  $w_{DN}$  normalized to the range between 0 and 1. That is, for a word  $w_q$  in the query q, we select a word from DN that has the closest semantics and use the similarity score between  $w_q$  and the selected word as the similarity between  $w_q$  and DN. The importance of each word  $w_q$  in the query q is different. We use the TF-IDF value to measure the importance of  $w_q$ . Intuitively, the most important word in the query should carry more weight when calculating relevance.

The symmetric text similarity  $Sim_{text}(q, DN)$  between the query q and the developer need DN is computed as Eq. (6), which is the average of the two asymmetric text similarities between q and the developer need DN.

The API similarity is calculated with Eq. (2) using the number of APIs that appear in the query q and the developer need DN at the same time.  $API_q$  is the set of APIs identified from the query q and  $API_{DN}$  is the set of APIs involved in DN.

$$Rel(q,Q) = \max_{DN \in Q} W_1 * Sim_{text}(q,DN) + W_2 * Sim_{API}(q,DN)$$

$$Sim_{text}(q \to DN) = \frac{\sum_{w_q \in W_q} TFIDF(w_q) * Sim(w_q, W_{DN})}{\sum_{w_q \in W_q} TFIDF(w_q)}$$
(5)

$$Sim_{text}(q, DN) = (Sim_{text}(q \to DN) + Sim_{text}(DN \to q))/2$$
 (6)

$$Sim_{API}(q, DN) = |API_q \cap API_{DN}|/|API_q|. \tag{7}$$

Based on the relevance between the query and each question in the question corpus, the questions in the corpus are ranked and the top-N ranked questions are returned as the relevant questions for the query.

The similarity measures in our approach (Eqs. (5) and (6)) are related to the ones used by AnswerBot [19], a tool for the retrieval and summarization of SO posts. The measures used by AnswerBot directly compute the text similarity between the query and the question represented by the title as the score for ranking. In contrast, our approach uses the developer needs, not the title of the question. Further, AnswerBot uses IDF as the weight in Eq. (6) and we use TF-IDF as the weight, because the developer needs are usually longer than the title and important word may have higher frequency. In addition, we also use the API-similarity, compared to AnswerBot, that only uses text similarity, because we focus on API related question.

#### 5.2 Evaluation

We compare our retrieval approach with AnswerBot [19] and we refer to it as  $baseline_{title}$ .

We used the implementation of  $baseline_{title}$  from the rep- 1031 lication package 12 of AnswerBot. Since we want to compare 1032 the retrieval using the complete question (title and body), 1033 not just the title, we have modified  $baseline_{title}$  and obtained 1034 its variant  $baseline_{full}$ , which uses the title and the body of a 1035 question together, when calculating similarity to a query. 1036

Data. We obtained the 100 SO questions that were used to 1037 evaluate AnswerBot from the authors' replication package. 1038 Then we manually removed questions that were not API- 1039 related and obtained 64 for our evaluation. AnswerBot han- 1040 dles any type of question, as it relies on textual similarity 1041 only, whereas our approach focuses on API-related ques- 1042 tions only. We used the same 213,959 questions from 1043 Section 4 as the retrieval corpus. This corpus does not 1044 include the 64 questions used as retrieval tasks. We further 1045 used the developer needs extracted from these questions 1046 (see Section 4) for retrieval with our approach.

*Protocol.* For each of these 64 retrieval tasks, we used the 1048 title of the question as the query and retrieved the top 10 1049 results using  $baseline_{title}$ ,  $baseline_{full}$ , and our approach. We 1050 merged the search results for the same task and removed 1051 duplicate questions. Then we invited 8 participants (MS stu-1052 dents with more than three years of Java development expe- 1053 rience each) to assess the relevance of the results. All results 1054 retrieved for a task were assessed by the same two partici- 1055 pants independently. When assessing the retrieved results 1056 for a task, participants were asked to read the SO threads 1057 for the task carefully to ensure they understood the task. 1058 They judged the relevance of each retrieved question to the 1059 task, i.e., whether the retrieved question is a hit for the task. 1060 Note that a related question does not need to exactly match 1061 the task. All retrieval results for the same task were shuffled 1062 before assessment and participants did not know which 1063 approach retrieved the result. If the assessment of two annotators for the same retrieved result was inconsistent, a third 1065 annotator was assigned to produce an additional judgment, 1066 and the final annotation was determined based on majority. 1067 The agreement between the judgments was substantial (i.e., 1068 Cohen's Kappa coefficient [24] of 0.684).

Results. Based on the judgments we compute the Top@1, 1070 Top@5, and Top@10 accuracy measures, which gives the 1071 average of how many results returned in the top 1, 5, or 10 1072 (respectively) are relevant. We also use MRR (Mean Recip- 1073 rocal Rank) [39] to compare the approaches, as it reflects the 1074 ranking of the first relevant questions in the returned 1075 results. These measures are used by previous work that 1076 evaluated AnswerBot and are commonly used measures in 1077 information retrieval. In each case, higher values represent 1078 a better performance. The measures are shown in Table 8. 1079 Our approach achieves the best results for all metrics, while 1080 baseline full the worst. We argue that the main reason 1081 explaining the results is that, although the question bodies 1082 contain useful information, they also contain a lot of noise, 1083 which hampers the retrieval. Using the content of the gues- 1084 tion body did not bring improvement, especially when the 1085 query is a short title. Our approach uses the developer 1086 needs extracted from questions, which arguably are less 1087 noisy than other information in the question bodies.

TABLE 8
Top@K Accuracy and MRR of Our Approach and the Baseline
Approaches in Relevant Question Retrieval

Approach	Top@1	Top@5	Top@10	MRR
$Baseline_{title}$	0.484	0.797	0.828	0.617
$Baseline_{full}$	0.438	0.734	0.797	0.568
Our Approach	0.625	0.828	0.859	0.698

# 5.3 User Study

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In order to further show how our approach can help developers, we conduct a user study by asking participants to complete programming tasks with the help of our approach and the help of a baseline.

Data. We selected 6 Java programming exercises shown in Table 9 from Practice-it<sup>13</sup> as the tasks for participants to complete. Those tasks cover different domains, such as string operations, file reading and writing, lists, and sets. We ensure that some JDK APIs are involved in each programming exercise solutions. We randomly divided the 6 tasks into two roughly equivalent groups (*TA* and *TB*) according to difficulty.

Our Approach and Baseline. We only use  $baseline_{title}$  from Section 5.2 as the baseline, because the experiment showed that it outperforms  $baseline_{full}$ . We developed web pages for both our approach and the baseline. According to the query provided by the user, the web pages will display the titles of the top-100 most relevant questions obtained by our approach or the baseline. We show a summary for each question in the search results. For our approach, the summary of a question is the developer need most relevant to the query in each question. For the baseline, the summary of a question is the first 200 characters of the question body.

Protocol. We asked 10 Master students with 1–3 years of Java programming experience to participate in the study. We conducted a pre-experiment survey on their Java programming experience and divided them into two roughly equivalent groups (*GA* and *GB*) based on the survey. For *GA*, participants complete *TA* with the baseline and *TB* with our approach. For *GB*, participants complete *TB* with the baseline and *TA* with our approach. When completing a task, participants must submit the complete code for each task and the code is reviewed by the authors to confirm its correctness. Participants can write their own queries and search multiple times with our approach or the baseline. We will record the time they need to complete the task. If a participant does not complete the task within 15 minutes, the participant will stop and the completion time will be recorded as 15 minutes.

After the completion of their tasks, the participants were interviewed and were asked to describe how they used the SO retrieval tools and what issues they encountered, if any.

Results. We received 54 solutions that were completed on time. We checked the participants' submitted code for each task and evaluated their correctness by comparing with the ground truth solution. From a total of 54 submitted solutions, we received 6 incorrect solutions (3 using our approach and 3 using the baseline), mainly because participants did not understand the task correctly. We removed those incorrect solutions from the following analysis.

Fig. 4 shows the participants' completion time using our 1138 approach and the baseline. Using our approach, participants 1139 completed the tasks 27.0% faster (378s versus 518s on average) 1140 compared to the baseline. In the six tasks, except the T4, using 1141 our approach is faster than using the baseline. T4 is an exception is mainly because some useful questions for T4 rank 1143 higher when using the baseline. We used Welch's t-test [40] 1144 for verifying the statistical significance of the difference 1145 between our approach and the baseline on completion time. 1146 The difference is not statistically significant (p = 0.06). 1147

The feedback we received from participants shows that 1148 when using the baseline they usually spent a lot of time to 1149 check whether the questions shown in the search results are 1150 relevant to their query. They often find that it does not meet 1151 their needs after reading the description of a question in 1152 detail. Conversely, the summary of the developer needs displayed by our approach can help them judge whether the 1154 question is relevant faster without reading the question in 1155 detail.

We conclude that using our approach, which retrieves 1157 questions based on developer needs, decreases the amount 1158 of time developers need for completing programming tasks. 1159

# 5.4 Threats to Validity

A threat to the internal validity is related to the subjective 1161 judgment of the annotators during data annotation. To alle- 1162 viate this threat, we follow commonly used data analysis 1163 principles, such as, multiple annotators, conflict resolution, 1164 and reporting agreement coefficients, where appropriate. 1165 Another threat to the internal validity of the user study is 1166 related to individual differences between the 10 students. 1167 To alleviate this threat, we conducted a pre-experiment survey about participants' Java programming experience and 1169 divided them into two roughly equivalent groups based on 1170 the survey. The 6 tasks were divided into two roughly 1171 equivalent groups, according to difficulty as well. We con- 1172 ducted a crossover study and adopted a balanced treatment 1173 distribution for the groups. Another threat is related to the 1174 prior knowledge of students. Depending on the knowledge 1175 that students have, they may be able to solve tasks without 1176 further searching with a tool. To alleviate this threat, we 1177 asked participants to search at least once when completing 1178 a task with our approach or the baseline.

A threat to the external validity of our evaluation and user study is related to the limited number of subjects (e.g., 1181 questions, tasks, and participants) considered in the evaluation and user study. The evaluation and user study may not 1183 generalize to broader development scenarios.

#### 6 Discussion

Discussions on SO are often rich in information and can be 1186 quite complex. Existing research has treated the entire 1187 thread/question as plain text and generated coarse-grained 1188 classifications. We posit that by analyzing the developer 1189 needs with relevant information and API roles involved in 1190 SO discussions, we can achieve a deeper understanding of 1191 the semantics of SO discussions. This is a crucial step 1192 towards converting SO discussions from unstructured natural language into structured knowledge enabling us to make 1194 better use of the information contained in these discussions.

ID	Task Name	Summary	Group
T1	plusScores	Reads students' score records and calculate the proportion of plus scores for each student	TA
T2	readEntireFile	Reads a file and returns the entire text contents of that file as a String	TA
T3	mostCommonNames	Reads a file that contains several names in each line and find names that occurs the most	TA
T4	inputStats	frequently in each line of that file  Reads a file and report various statistics about the file's text, e.g., the number of lines in the file, the longest line	TB
T5 T6	removeDuplicates countUnique	Reads an ArrayList of Strings and eliminates any duplicates from this list Reads List of integers and returns the number of unique integer values in the list by using Set	TB TB

Multiple applications are possible using such structured information. Section 5 has already shown one of the possible applications, i.e., retrieving API-related questions based on developer needs. Other possible applications are as follows.

(1) Supplementing API reference documentation with real developer needs and corresponding solutions. The scenario-oriented knowledge about the same API is gathered according to the developer needs. Developers can explore scenario-oriented knowledge about an API based on different aspects, such as the developer need types, API roles.

(2) Relevant SO question recommendation for a given question, with relevance established based on shared developer needs and API roles. Developers can investigate relevant SO questions according to their interests. For example, for a functionality implementation question, developers may be concerned about API comparison questions comparing the suggested APIs with other alternative APIs or error handling questions involving the suggested APIs as error APIs.

(3) Explaining API recommendation results based on a query, highlighting the developer need related to the query and the recommended APIs. Developers can understand the relationship between a recommended API and the query based on the developer need provided, so as to select the appropriate API more accurately and quickly.

#### 7 RELATED WORK

Previous research has categorized Stack Overflow content. Treude *et al.* [4] identified ten categories of SO questions: i.e., *how-to, discrepancy, environment, error, decision help, conceptual,* 

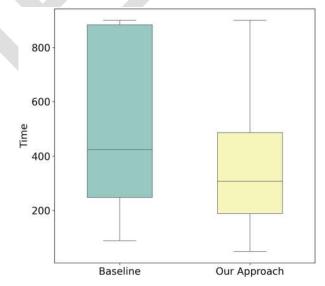


Fig. 4. Completion time for our approach and baseline.

review, non-functional, novice, noise. Nasehi et al. [41] described 1224 SO question types along two dimensions: (1) the main technology or construct that the question revolves around and 1226 usually can be inferred from the question tags; (2) the main 1227 concerns of the questioners and what they wanted to solve 1228 (i.e., Debug/Corrective, Need-To-Know, How-To-Do-It, and Seeking-Different-Solution). Allamanis et al. [16] used topic modeling to uncover question categories and identified five 1231 question categories: Does not work, How/Why something works, 1232 Implement something, Way of using, and Learning. Beyer et al. 1233 [18] identified eight SO question types: How to...?, What is the 1234 Problem...?, Error...?, Is it possible...?, Why...?, Better Solution...?, 1235 Version...?, and Device...?. In a similar study, Rosen et al. [15] 1236 manually investigated 384 mobile-related posts and catego-1237 rized them into three main categories: How, What, and Why. 1238

These studies tend to gloss over the complexity of SO 1239 questions, in particular the fact that they may express multi- 1240 ple concerns of a developer. To address this gap, we pro- 1241 pose a fine-grained taxonomy of developer needs in SO 1242 posts, together with the information needed to express 1243 them, and the roles of the APIs in pertinent to the needs.

In addition, several studies have analyzed the discus- 1245 sions around domain-specific topics on Stack Overflow, 1246 such as security [14], mobile development [15], Android 1247 testing [10], requirements engineering [11], and configura- 1248 tion-as-code [13]. In contrast, our focus is on API-related SO 1249 questions, orthogonal to the application domain. 1250

Many researchers have proposed applications based on 1251 Stack Overflow data to help developers, e.g., by building a 1252 question-answering system based on question-and-answer 1253 pairs [42], by integrating Stack Overflow post recommenda- 1254 tions into the IDE [43] and through API recommendations [44]. 1255

In addition to AnswerBot [19], several approaches were 1256 previously proposed for retrieving information from Stack 1257 Overflow [45], [46], [47], [48]. These approaches focus on 1258 retrieving entire posts (as opposed to related questions) and 1259 ignore the explicit developer needs.

Other studies have targeted mining knowledge from Stack 1261 Overflow. For example, Wong *et al.* [49] mined code snippets 1262 and their descriptions from Stack Overflow to support com- 1263 ment generation for similar code snippets. Zhang *et al.* [50] 1264 developed BDA (Bing Developer Assistant) to recommend 1265 sample code mined from GitHub and Stack Overflow. Treude 1266 *et al.* [51] used a machine-learning model to identify insight 1267 sentences in Stack Overflow posts that could be used to aug- 1268 ment API reference documentation. Xu *et al.* [19] proposed 1269 AnswerBot to summarize the answers to a question. Uddin 1270 *et al.* [52] developed a tool, Opiner, to present summaries of 1271 opinions about an API from Stack Overflow. They focus 1272

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on extracting API-related opinion sentences from Stack Overflow posts and summarizing them into several aspects (e.g., performance, usability). Unlike them, we focus on analyzing the developer needs in the questions and the role that the API plays in different developer needs.

#### **CONCLUSION AND FUTURE WORK**

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Our new taxonomy, focuses on API-related questions in Stack Overflow and defines fine-grained developer needs and pertinent information, complementing existing frameworks, while addressing their gaps. API-related SO questions describe such developer needs with specific types of information, while the pertinent APIs play various roles in the answers. These aspects are also captured by our new taxonomy.

We found that the fine-grained developer needs and relevant information, together with API roles in SO threads, can be identified automatically with high accuracy, using a combination of heuristic-based and supervised learning approaches. We argue that these elements capture the essential information of SO questions. A practical application of our taxonomy is the automated retrieval of SO questions, based on the automatically extracted developer needs and API roles. A comparison with state-of-the-art baseline approaches, which use textual similarities, supports our argument.

In the future we will focus on improving our tools and the retrieval approaches, as well providing additional API knowledge services based on the identification of APIrelated developer needs in Stack Overflow.

#### DATA AVAILABILITY

All the data used in the empirical studies is included in the replication package [26].

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